

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
SHC0169

In Re Application Of: Naoto Ohashi et al.

Serial No.  
10/088,662

Filing Date  
Unassigned

Examiner  
Unknown

Group Art Unit  
Unknown

**Title: DISPOSABLE ABSORBENT WEARING ARTICLE AND PROCESS FOR PLACEMENT OF ELASTIC MEMBERS ASSOCIATED WITH LEG HOLES ON THE ARTICLE**
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**PCT/IB/338  
PCT/IPEA/409**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.



Dated: July 12, 2002

**Michael S. Gzybowski, Reg. No. 32,816  
Baker & Daniels  
111 East Wayne Street, Suite 800  
Fort Wayne, IN 46802  
260-424-8000**

I certify that this document and fee is being deposited on July 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

**Michael S. Gzybowski**

Typed or Printed Name of Person Mailing Correspondence

CC:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT****\$130.00****Complete if Known**

Application Number 10/088,662

Filing Date Unassigned

First Named Inventor Naoto Ohashi et al.

Examiner Name Unknown

Group Art Unit Unknown

Attorney Docket No. SHC0169

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number

02-0385

Deposit  
Account  
Name

BAKER &amp; DANIELS

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee  
to the above identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					

**2. EXTRA CLAIM FEES FOR UTILITY AND**

Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text" value="0"/> -20** = <input type="text" value="0"/>	X	<input type="text" value="0"/>	= <input type="text" value="0.00"/>
Independent Claims	<input type="text" value="0"/> - 3** = <input type="text" value="0"/>	X	<input type="text" value="0"/>	= <input type="text" value="0.00"/>
Multiple Dependent			<input type="text" value="0"/>	= <input type="text" value="0.00"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$130.00

**SUBMITTED BY**

Name (Print/Type)	Michael S. Gzybowski	Registration No. (Attorney/Agent)	32,816	Telephone	260-424-8000
Signature		Date	July 23, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
SHC0169

In Re Application Of: Naoto Ohashi et al.

Serial No.  
10/088,662

Filing Date  
Unassigned

Examiner  
Unknown

Group Art Unit  
Unknown

Invention: **DISPOSABLE ABSORBENT WEARING ARTICLE AND PROCESS FOR PLACEMENT OF ELASTIC MEMBERS ASSOCIATED WITH LEG HOLES ON THE ARTICLE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee \_\_\_\_\_

☐ Design application filing fee \_\_\_\_\_

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

07/31/2002 MKAYPAGH 00000065 10088662

01 FC:154 130.00 DP

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

10/31/2002 MKAYPAGH 00000104 020385 10088662

01 FC:1251 110.00 CH

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
SHC0169

In Re Application Of: Naoto Ohashi et al.

Serial No.  
10/088,662

Filing Date  
Unassigned

Examiner  
Unknown

Group Art Unit  
Unknown

Invention: **DISPOSABLE ABSORBENT WEARING ARTICLE AND PROCESS FOR PLACEMENT OF ELASTIC MEMBERS ASSOCIATED WITH LEG HOLES ON THE ARTICLE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
May 22, 2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
 SHC0169

In Re Application Of: Naoto Ohashi et al.

Serial No.  
 10/088,662

Filing Date  
 Unassigned

Examiner  
 Unknown

Group Art Unit  
 Unknown

Invention: **DISPOSABLE ABSORBENT WEARING ARTICLE AND PROCESS FOR PLACEMENT OF ELASTIC MEMBERS ASSOCIATED WITH LEG HOLES ON THE ARTICLE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **02-0385**  
 A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **02-0385**  
 A duplicate copy of this sheet is enclosed.

  
 Signature

Dated: **July 23, 2002**

Michael S. Gzybowski, Reg. No. 32,816  
 Baker & Daniels  
 111 East Wayne Street, Suite 800  
 Fort Wayne, IN 46802  
 260-424-8000  
 260-460-1700

I certify that this document and fee is being deposited on **July 23, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
 Signature of Person Mailing Correspondence

**Michael S. Gzybowski**

Typed or Printed Name of Person Mailing Correspondence

CC:



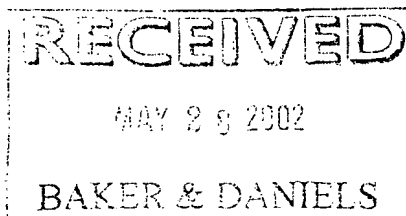
## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,662	Naoto OHASHI	SHC0169

INTERNATIONAL APPLICATION NO.	
PCT/JP00/06480	
I.A. FILING DATE	PRIORITY DATE
09/21/2000	09/21/1999

Micheal S Gzybowski  
Baker & Daniels  
111 East Wayne Street  
Suite 800  
Fort Wayne, IN 46802



CONFIRMATION NO. 2832

371 FORMALITIES LETTER



\*OC000000008166155\*

Date Mailed: 05/22/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

REPLY DUE: Declaration

7 8-22-02

DOCKET 1 ☒ DOCKET 2 ☒

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,662	PCT/JP00/06480	SHC0169

FORM PCT/DO/EO/905 (371 Formalities Notice)

## PATENT COOPERATION TREATY

**PCT**  
**NOTIFICATION OF TRANSMITTAL**  
**OF COPIES OF TRANSLATION**  
**OF THE INTERNATIONAL PRELIMINARY**  
**EXAMINATION REPORT**

(PCT Rule 72.2)

From the INTERNATIONAL BUREAU

To:

SHIRAHAMA, Yoshiharu  
 Ishii Building  
 1-10, Shimbashi 3-chome  
 Minato-ku  
 Tokyo 105-0004  
 JAPON



Date of mailing (day/month/year) 26 April 2002 (26.04.02)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 2000-272169	
International application No. PCT/JP00/06480	International filing date (day/month/year) 21 September 2000 (21.09.00)
Applicant UNI-CHARM CO., LTD. et al	

**1. Transmittal of the translation to the applicant.**

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

**2. Transmittal of the copy of the translation to the elected Offices.**

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation

EP,CA,CN,RO,US

**VERSION**  
**CORRIGÉE**

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AP,EA,AE,AG,AL,AU,BA,BB,BG,BR,BZ,CR,CU,CZ,DM,DZ,EE,GD,GE,HR,HU,ID,IL,IN,IS,KR,LC,LK,LR,LT,LV,MA,MG,MK,MN,MX,MZ,NO,NZ,PL,SG,SI,SK,TR,TT,TZ,UA,UZ,VN,YU,ZA,ZW,OA

**3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).**

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer  Elliott PERETTI  Telephone No. (41-22) 338.83.38
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